

Section 55 Acceptance of Application	ons – Applicatior	Checklist			
Within 28 days (starting day after receipt) the Commission must decide	Project Name	Project Reference	Date received	28 day due date	Date of decision
whether or not to accept the application.	Rookery South EfW	EN010011	5 th August 2010	2 nd September 2010	26 th August 2010
NB: See IPC Guidance Note No. 2 (the on how the form should be completed				lication Form Guidance f	or guidance
Section 55(3) – the Commission may an application if it concludes that it:	y <u>only</u> accept	Secreta Comme	riat	Commissione Note	er
(a) Is an application for an order gra	Inting developme	ent consent			
1) The application must state on the is an application for a development (DCO) under the 2008 Act, or equiva	consent order	Yes – Front page of o References to the rel documents submitted application are indica APP DOC REF	evant numbered as part of the	I have reviewed all the Sec comments in this checklist them into account in drawin conclusions which are set of this document, prior to th	and taken ng together my out at the end
(b) Complies with section 37(3) (form	n and contents c	f application) and wit	h any standards s	set under section 37(5)	
s37: Applications for orders grantin consent	ng development				
 only if an application is made (is made?) 	s the application	Yes – Application for completed along with covering letter			
 must be made to the Commission must give a brief statement which the Commission is the appropriat receive this application, with reference to the section of Part 3 of the application been made to the Control that this statement been included 	ch explains why ate body to erence to the Act. (<i>has the</i> ommission and	Yes – Statement in se application form and covering letter (s14(a Act. This includes the proposed developme APP DOC REF 1.2 S APP DOC REF 1.1 P	para 2 of) & 15(2) of the e capacity of the nt (65MW). SECTION 4		
 A brief statement must be given identifies the location of the app the route if it is a linear scheme included?) 	lication site, or	Yes – Section 6 of ap Including Grid Refere APP DOC REF 1.2	nce.		
4) the application must:					
 a) specify the development to whic which category or categories in does the application scheme fal 	sections 14-30	Yes – s14(a) and s15 application form & pa letter. APP DOC REF 1.2 S APP DOC REF 1.1 P	SECTION 4		
b) Made in the prescribed form (<i>is</i>	it?)	Yes – Application for signed and dated. APP DOC REF 1.2	n completed,		
Prescribed form as set out in Regulation and Procedure) Regulations 2009 (the			Infrastructure Plan	ning (Applications: Prescri	bed Forms
c) Accompanied by consultation re	eport (<i>is it?</i>)	Yes – Ref 7.1 & 7.2 (appendices) APP DOC REF 7.1 & 7.2			
d) accompanied by documents an prescribed description (<i>are ther</i>		Yes, see below. Refer to Table 1.0 (a details on how each p document complies v standards set out in I	vith the		

rescrib	ed form as set out in Regulation 5 and 6 of the	Note 2 (Paras 8-10)	
	Regulation 5(2) an application must be acco		
(a)	where applicable, the environmental	APP DOC REF 3.1-3.3	
	statement (ES) required under the	APP DOC REF 3.4 (Technical	
	Infrastructure Planning (Environmental Impact Assessment) Regulations 2009	Summary)	
	and any scoping or screening opinions or	Document references 3.1 – 3.4	
	directions;	The 'EIA Review Criteria' (Appendix B) provides an initial assessment of the ES documents from which the Secretariat concludes that the ES is appropriate for acceptance purposes.	
		ENVIRONMENTAL STATEMENT VOLUME I APP DOC REF 3.1	I
	I	Format:	
	I	Non-tech Summary 3.4	·
	I	Paragraphed and paginated –640pg	·
		Title Page – Yes see above	·
		Author – Covanta Energy	·
	I	Date of Revision: August 2010	·
	I	Reg 5(2)(a)	
	I	Contents page included	
		Plans included – Please see spreadsheet.	I
		ENVIRONMENTAL STATEMENT VOLUME II APP DOC REF 3.2	I
	I	Format:	
	I	Non-tech Summary 3.4	
		Paragraphed and paginated –9 page written document followed by photomontages.	I
	I	Title Page – Yes see above	
	I	Author – LDA Design	
		Date of Revision: 04/08/2010	·
	I	Reg 5(2)(a)	
	I	Contents Page included	
		Plans included	
		ENVIRONMENTAL STATEMENT VOLUME III APP DOC REF 3.3	I
	I	Format:	
	I	Non-tech Summary 3.4	
		Paragraphed and paginated –	
	'	Title Page – Yes see above	

The Page – Tes see above	
Author – LDA Design	
Date of Revision: 04/08/10	
Reg 5(2)(a)	
Each appendix has a sub-contents page.	
Plans included – Please see Appendix E.	
NB Appendices 6, 7, 10, 14 &15 are left as blank and are not included in the application details.	

		ENVIRONMENTAL STATEMENT NON-TECHNICAL SUMMARY APP DOC REF 3.4	
		Format:	
		No summary is included as part of	
		this document. As the document is a	
		summary itself, the Secretariat is of the view that a further 'summary of	
		the summary' is not necessary.	
		Paragraphed and paginated –30pg	
		last para 14.1.2	
		Title Page – Yes see above	
		Author – LDA Design Date of Revision: 04/08/10	
		Reg 5(2)(a)	
		Contents page included	
(b)	the draft proposed order;	APP DOC REF 1.4	
		Format:	
		No Summary. This is not considered to be necessary for the draft DCO.	
		Paragraphed and paginated –28pg doc set out in SI format	
		Title Page – Yes see above	
		Author – DLA Piper	
		Date of Revision 04/08/10	
		Reg 5(2)(b)	
		Contents page included	
		No plans	
		7 Schedules	
		[Redacted]	
(C)	an explanatory memorandum explaining	APP DOC REF 1.5	
	the purpose and effect of provisions in the draft order, including in particular any	Format:	
	divergences from the model provisions	No Summary. Given the nature of	
	(SI 2009 2265);	information presented in the Explanatory Memorandum the	
		Secretariat does not consider it	
		essential that a summary is included for this type of development.	
		Paragraphed and paginated –15pg	
		doc, content of doc begins on p3. 18	
		paragraphs then articles within DCO are discussed in sequence.	
		Title Page – Yes see above	
		Author – DLA Piper	
		Date of Revision 04/08/10	
		Reg 5(2)(c)	
		No table of contents – Set out in	
		Stationary Office Format/Template.	
		Although over two pages, the Secretariat does not consider it	
		necessary for this document to	
		include a table of contents – the order of information is clearly set out	
		within the document.	
		No plans	
1			
(d)	where applicable, the book of reference (where the proposed application involves	APP DOC REF 1.8	

		гч
any compulsory acquisition of land);	Format:	
	No Summary. Given the nature of information presented in the Book of Reference, the Secretariat does not consider it essential that a summary is included for this type of development.	
	Paragraphed and paginated –124pg doc, no paras in table format.	
	Title Page – Yes see above	
	Author – Ardent	
	Date of Revision 04/08/10	
	Reg 5(2)(d)	
	Table of Contents	
	No plans	
(e) where applicable a copy of any flood risk	APP DOC REF 4.4	
assessment;	Format:	
	Summary included with broad outline of issues.	
	Paragraphed and paginated –main doc p1-82, appendices p83-107 paras 1.0-17.2.2	
	Title Page – Yes see above	
	Author – LDA Design	
	Date of Revision 04/08/10	
	Reg 5(2)(e)	
	Contents included	
	Plans included	
(f) a statement whether the proposal	APP DOC REF 1.9	
engages one or more of the matters set out in section 79(1) of the Environmental	Format:	
Protection Act 1990 (statutory nuisances) and if so how the applicant proposes to mitigate or limit them;	No Summary. This is a small document, clearly setting out the information within it and is not considered to require a summary.	
	Paragraphed and paginated –9pg doc, last para 3.1.2	
	Title Page – Yes see above	
	Author – DLA Piper	
	Date of Revision 04/08/10	
	Reg 5(2)(f)	
	Contents page included	
	No plans	
(g) any report identifying any European site to which regulation 48 of the	APP DOC REF 4.2	
Conservation (Natural Habitats, &c.)	Format:	
Regulations 1994 (as amended) applies, or any Ramsar site, which may be affected by the proposed development,	No Summary. This is a small document, clearly setting out the information within it and is not	
together with sufficient information that will enable the Commission to make an appropriate assessment of the	considered to require a summary. Paragraphed and paginated –12pg doc, last para 2.1.1	
implications for the site if required by	Title Page – Yes see above	
regulation 48(1). LEGAL/EIA TEAM ADVICE/INPUT REQUIRED on whether	Author – Baker Shepherd Gillespie	
the information provided is sufficient or not.	Date of Revision 04/08/10	
	Contents page included	
	Reg 5(2)(g) and (q)	
	No plans	
	The Secretariat considers that the	

		information provided with the application is sufficient to enable the decision maker to determine whether an appropriate assessment of the implications for the site is required by Regulation 48(1).	
(h)	if the proposed order would authorise the	APP DOC REF 1.6 – 1.7	
	compulsory acquisition of land/an interest	Format:	
	in land or right over land, a statement of reasons and a statement to indicate how	Statement of reasons:	
	an order that contains the authorisation of compulsory acquisition is proposed to be funded;	No summary. This is a small document and, taking account of the extent of the information provided within it, the Secretariat is of the opinion that it is not considered necessary to include a summary.	
		Paragraphed and paginated –50pg doc, last para 9.2.3	
		Title Page – Yes see above	
		Author – DLA Piper	
		Date of Revision 04/08/10	
		Contents page included	
		Reg 5(2)(h)	
		No plans	
		APP DOC REF 1.7	
		No summary. Given the small length of this document which clearly sets out the information provided, the Secretariat does not consider it necessary for a summary to be provided.	
		Paragraphed and paginated	
		Title page – Yes see above	
		Author – DLA Piper	
		Date of Revision 4/08/10	
		No contents page required as only 2 pages	
		Reg 5(2)(h)	
		No plans	
(i)	a land plan identifying:-) the land required for, or affected by, the	STANDARDS FOR ALL PLANS AS PER APPENDIX D	
(I)	proposed development;	PLANS 2.5	
(ii	 (ii) where applicable, any land over which it is proposed to exercise powers of compulsory acquisition or any rights to use land; (iii) any land in relation to which it is 	(i) Plans 2.5	
		APP DOC REF 2.5	
		Title: Land Plan	
(iii)		North Sign: Yes	
	proposed to extinguish easements,	Author: LDADesign	
/i. /	servitudes and other private rights; and	Scale: 1:2500	
(10)	iv) where the land includes special category land and replacement land,	Revision: no	
	that special category land	PLANS 2.5 – 2.10	
		(ii) Plans 2.5 – 2.10	
		APP DOC REF 2.6-2.10	
		Title: Extinguishments of Rights	
		North Sign: Yes	
		Author: LDADesign	
		Scale (key plan): 1:7500	
		Scale (1-4): 1:2500	
		Revision: no	

	(iii) Plans 2.5 – 2.10 (see above)	
	(iv) Plan 2.5 (see above)	
	APP DOC REF 1.6 (Statement of Reasons) chapter 7	
	The CLG application form guidance makes it clear that it is acceptable for a plan to incorporate several issues, as long as there is sufficient clarity for each issue to be understood, and the plan and issues appropriately referenced throughout the application (para 6). The Secretariat considers this to be the case in this instance.	
(j) a works plan showing, in relation to	PLANS 2.2 – 2.4	
existing features:-	(i) Plan 2.2 – 2.4	
(i) the proposed location or (for a linear	APP DOC REF: 2.2 – 2.4	
scheme) the proposed route and alignment of the development and	Title: Works Plan	
works; and	North Sign: Yes	
(ii) the limits within which the development	Author: LDADesign	
and works may be carried out and any limits of deviation provided for in the	Scale (1-2): 1:1250	
draft order;	Scale (Key Plan): 1:3000 – Whilst smaller than 1:2500 this is considered acceptable for a Key Plan.	
	Revision: No	
	(ii) Plan 2.2 – 2.4	
	Refer to the above	
	As above, the CLG application form guidance makes it clear that it is acceptable for a plan to incorporate several issues, as long as there is sufficient clarity for each issue to be understood, and the plan and issues appropriately referenced throughout the application (para 6). The Secretariat considers this to be the case in this instance.	
 (k) where applicable, a plan identifying any new or altered means of access, 	PLANS 2.11 & 2.26 - 2.29 APP DOC REF 2.11	
stopping up of streets or roads or any	Title: Rights of Way Plan	
diversions, extinguishments or creation of rights of way or public rights of	North Sign: Yes	
navigation;	Author: LDA Design	
	Scale: 1:2500	
	Revision: No	
	APP DOC REF 2.26	
	Title: Proposed Access Road Existing Footpath Width and Level Crossing	
	North Sign: Yes	
	Author: Waterman Boreham TP	
	Scale: 1:500	
	Revision: No	
	APP DOC REF 2.27	
	Title: Proposed Access Road with	

	Proposed 2.5m at Level Crossing	
	North Sign: Yes	
	Author: Waterman Boreham TP	
	Scale: 1:500	
	Revision: no	
	APP DOC REF 2.28	
	Title: Proposed Access to the	
	Rookery Resource Facility	
	North Sign: Yes	
	Author: Waterman Boreham TP	
	Scale: 1:500	
	Revision: No	
	APP DOC REF 2.29	
	Title: Level Crossing – Group Plan	
	North Sign: Yes	
	Author: ARUP	
	Scale: Varies	
	Revision: No	
(I) where applicable, a plan with	APP DOC REF 4.1	
accompanying information identifying:-	Application form (Box 16) refers to	
 (i) any statutory/non-statutory sites/ features of nature conservation e.g. 	Report provided pursuant to Regulation 5(2)(q) – Document ref:	
sites of geological/ landscape	4.1 which detail such sites and an	
importance;	assessment of the effects upon them. It states that there are no likely	
 (ii) habitats of protected species, important habitats or other diversity features; and 	effects on the features listed and	
(iii) water bodies in a river basin	therefore the report is submitted under Reg 5(2) (q) rather than 5(2)	
management plan, together with an	(i).	
assessment of any effects on such sites, features, habitats or bodies likely	The report also cross references with	
to be caused by the proposed	Chapter 12 of the Environmental Statement which includes plans	
development;	identifying relevant sites. As such, it	
	is not necessary to duplicate them else where in the documentation.	
(m) where applicable, a plan with	APP DOC REF 4.3	
accompanying information identifying any	Application form (Box 17) refers to	
statutory/non-statutory sites or features of the historic environment, (e.g.	Report provided pursuant to	
scheduled monuments, World Heritage	Regulation 5(2)(q) – Document ref: 4.3 which detail such sites and an	
sites, listed buildings, archaeological sites and registered battlefields) together	assessment of the effects upon them.	
with an assessment of any effects on	The report cross references with	
such sites, features or structures likely to be caused by the proposed development;	Chapter 11 of the Environmental Statement which includes plans	
	identifying relevant sites. As such, it	
	is not necessary to duplicate them elsewhere in the documentation. The	
	applicant considers that the	
	requirements of Reg 5(2)m are already met elsewhere in the	
	application documents and that Reg	
	5(2)m is not applicable in this case. However an assessment of the likely	
	effects is set out separately in this	
	report for ease of reference.	
(n) where applicable, a plan with any	PLAN 2.5	
accompanying information identifying any Crown land;	Plan 2.5 and the accompanying	
	information is contained in document	
	reference 1.8 (book of	

			Т
		reference)(pages 20 – 26)	
		APP DOC REF 2.5	
		Title: Land Plan	
		North Sign: Yes	
		Author: LDADesign	
		Scale: 1:2500	
		Revision: no	
(0)	any other plans, drawings and sections necessary to describe the development	APP DOC REF 2.1, 2.12 to 25,30 to 35	
	consent proposal showing details of	2.1 Application Site/Order Limits	
	design, external appearance, and the preferred layout of buildings/structures,	2.12 EfW South Elevation	
	drainage, surface water management,	2.13 EfW North Elevation	
	means of vehicular and pedestrian access, any car parking and landscaping;	2.14 EfW East Elevation	
		2.15 EfW East Elevation	
		2.16 EfW East Sectional Elevation	
		2.17 EfW West Sectional Elevation	
		2.18 Secondary Building Elevations	
		2.19 RRF Tertiary Building Elevations	
		2.20 RRF North and South Elevations	
		2.21 RRF East and West Elevations	
		2.22 RRF Site Sections	
		2.23 RRF Boundary Details	
		2.24 RRF Elevation and Section Key Plan	
		2.25 RRF Roof Plan	
		2.30 Lighting and Layout Strategy	
		2.31 Landscape Strategy and Key Plans	
		2.33 Planning Strategy Wider Site	
		2.34 Operations area for Country Park and RRF Entrance	
		2.35 Trees to be Removed/Retained	
		All the above plans meet the standards required (there are no revisions stated for this documents).	
		The other plans listed in Box 23 of the application form are covered previously within this checklist (ie 2.2 -2.4 are Works Plans (j), 2.5–2.10 Land Plans (i) and 2.26 -2.29 are included within the access and rights of way plans (k)).	
(p)	any of the documents prescribed by Regulation 6 of the APFP Regulations. NB:- These are documents which are relevant to specific types of project. Important to confirm in each case the type of project and the relevant	The applicable regulation is 6 (a)(i) Statement of responsibility for the connection to the electricity grid – refer to 6.1 (figure 40 – proposed grid connection) and document reference 1.10 (grid connection statement).	
	documents which <u>must</u> be included with the application in each case.	The Secretariat considers that these documents have satisfactorily complied with the required standards.	
(q)	any other documents considered necessary to support the application; and	APP DOC REF 1.9, 1.11, 5.1 to 5.7, 6.1 to 6.4	
		 1.9 – Statement of Engagement 1.11 – Heads of Terms 5.1 – Planning Statement 	

	 5.2 – Alternative Site Assessment Report 5.3 - Need Assessment 5.4 – WRATE, Carbon and Efficiencies of Scale report 5.5 – Economic Statement 5.6 – Health Impact Assessment 5.7 - Sustainability Statement 6.1 – Design and Access Statement 6.2 – Engineering Design Statement 6.3 – Combined Heat and Power Development Strategy 6.4 – Rail Feasibility Report The Secretariat considers that these documents have satisfactorily complied with the standards required. Also refer to the submissions noted under (I) and (m) referred to above. 	
(r) if requested by the Commission, three paper copies of the application form and other supporting documents and plans.	Yes, three copies provided of all application documents.	
 Regulation 5(3) requires that any plans, drawings or sections submitted under Regulation 5(2) shall be no larger than AO size, shall be drawn to an identified scale (not smaller than 1:2500) and, in the case of plans, shall show the direction of north. It is not intended that information provided in other documents, such as any Environmental Statement submitted, should be duplicated. It is possible therefore to cross refer to the location of relevant information - see CLG Guidance on NSIP projects Application form guidance paragraphs 33 - 38. LEGAL ADVICE should be sought if there is any uncertainty as to whether the plans etc. submitted are in compliance. 	The plans/drawings/sections required to be submitted under Reg 5(3) are no larger than AO size, are drawn to an identified scale not smaller than 1:2500 and, for plans, show the direction north. The only two with a smaller scale are plans 2.2 (1:3500) and 2.6 (1:7500) but these are the key plans and are therefore the Secretariat considers these to be appropriate. For clarification the interpretation of Reg 5(3) is those plans, drawings or sections identified as such under Reg 5(2) (eg a land plan (i) or a works plan(j)), and not any other plans that have been submitted as part of prescribed documents such as the Environmental Statement (a) or the Flood Risk Assessment (e). The appended tables D, E & F detail the particular plans, drawings or sections in relation to the Regulation 5(3) requirements (along with the standards set out in IPC Guidance Note 2 (see below for further information).	
Regulation 5 (4) Where a plan comprises three or more separate sheets a key plan must be provided showing the relationship between the different sheets.	Yes, key plans have been provided for plans 2.2 and 2.6 These plans satisfactorily meet the Commissions standards.	
 Commission Guidance Note No. 2 on the prepara subsection (3) are to be complied with. CLG have projects Application Form Guidance' (September minimal standards for all application documents:- 	also issued guidance 'Planning Act 200 2008). IPC Guidance Note 2 (in paragra	8: Nationally significant infrastructure
Para. 9 Summaries of documents	Summaries have been provided for all documents apart from: APP DOC REF 1.4 (Draft DCO),	

	APP DOC REF 1.5 (Explanatory Memorandum),
	APP DOC REF 1.6 (Statement of APP DOC REF 1.7 (Funding Statement),
	APP DOC REF 1.8 (Book of Reference),
	APP DOC REF 1.9 (Statement of Engagement),
	APP DOC REF 1.11 (Heads of Terms).
	In relation to summaries, IPC Guidance Note 2 states that " <i>it is</i> <i>important for the IPC to be able to</i> <i>quickly identify issues that will be</i> <i>both important and relevant to its</i> <i>decisionIt is therefore essential</i> <i>that each document includes a</i> <i>summary highlighting what in the</i> <i>applicant's view such issues might</i> <i>be. This will assist all parties</i> <i>because these issues will be fed into</i> <i>the discussion to take place at the</i> <i>preliminary meeting</i> "
	The documents listed above, without summaries, are not documents that raise particular issues (e.g. environmental, social, economic) in the same way as the Environmental Statement or Flood Risk Assessment for example. These documents are also relatively short in length, more legalistic in their nature and are thus less suited to the need for a summary as set out in the IPC Guidance. All the submitted documents that do raise issues contain summaries relating to the issues. Therefore, the Secretariat does not considered that the lack of summaries, in these cases, is an issue that justifies not accepting the application.
Para. 10 Format of documents:	· · · · · · · · · · · · · · · · · · ·
Paginated and paragraph numbered	Yes
Clear title page to every document identifying:	Yes
 The project 	Yes
 Date of revision 	Yes. Where no date of revision is given it is assumed by the Secretariat that the document is the original version and that any further versions will subsequently include a date of revision.

	revision.	
– Authors	Yes	
	In some cases, reports and plans/drawings/sections state the name of the consultancy responsible for producing it rather than an individually named author. It is our view that either the consultancy name or a named person is an acceptable interpretation of what is an 'author'. In either case, the Secretariat considers that it is clear who the named person or consultancy is in producing a	

	particular document.	
 Appropriate regulation 5(2) paragraph to which the document relates 	Yes	
All documents over two A4 pages in length require Table of Contents setting out Chapter or topic	There is no contents page for APP DOC REF 1.11 (Heads of Terms).	
headings	This is a short document that lists the proposed Heads of Terms and therefore does not lend itself to the requirement for contents to be listed.	
Plans must also be clearly labelled in the bottom right ha	and corner with:	
"title page" information (as set out above)	Yes	
A list of revisions and identification of version	Yes (where applicable)	
reference	Where plans do not indicate a list of revisions and identification reference, it is assumed that the version submitted is the original version. Any further versions would subsequently be expected to include the appropriate reference.	
	The appended tables (A, B and C) detail how particular documents and plans/drawings/sections have complied with the relevant standards in IPC Guidance Note 2 along with the Reg 5 (3) requirements.	
Para. 11 Copies and Media:		
3 paper copies of full application	Yes	
A list of all of the application documents that accompany the prescribed form (Schedule 2)	Yes (Application form and attached to covering letter)	
10 DVD copies (in format to be agreed in advance with the IPC)	Yes (ten copies of additional DVDs received on 17 th August which include Figure 3.19 of the ES (proposed lighting strategy). These were omitted from the originally submitted DVD's but were included within the original paper copies.	
Has the IPC requested additional paper copies?	No	
Has the IPC requested additional DVD copies?	No, but refer to the above note re. the submission of amended DVDs.	
Para. 12 Consultation report		
Consultation Report: Application must be accompanied by the applicants consultation	APP DOC REF 7.1 AND APPENDICES (7.2)	
report prepared under s37(7) of the Act. The consultation report should draw together:	Yes, Ref.7.1 & 7.2 (7.2 comprising two volumes of appendices)	
An account of the statutory consultation, publicity, deadlines set and community consultation activities undertaken at pre- application under s42, 47 & 48	APP DOC REF 7.1 The table in section 9.2 of Consultation Report (doc ref 7.1) refers to the relevant sections of consultation report which go onto describe the pre-application activity for each statutory requirement. The Secretariat is of the view that these references satisfactorily refer to the pre-application requirements carried	
	out under s42, 47 & 48. It is noted that the Consultation	

	Report also includes details of the non statutory consultation that took place beforehand forming an integral part of the applicant's iterative approach to consultation including mailings, exhibitions/drop in sessions, stakeholder groups, the internet and meetings.	
A summary of the relevant responses to the separate strands of consultation	These have been grouped within issue specific headings for each phase of consultation, including both statutory and non-statutory consultation. Including:	
	Para 8.2.7 – Summary of main issues raised through non-prescribed consultation.	
	Para 8.3.1 – Summary of public responses received (Jan to July 2010). This includes responses to s47 consultation and those received before this. Appendix 42 sets out a full list of these.	
	Para 8.3.5 – Summary of responses to s42 highlighting the principle issues that were raised.	
	Para 8.4.12-17 – Summary of written responses received at or following exhibitions.	
	Appendix 52 – Full feedback from 2010 exhibitions	
	Appendix 58 – Further consultation carried out as a result of grid connection changes.	
Account taken of responses in developing the application from proposal to final form, as required by s49(2).	S492(2) requires that the applicant must, when deciding whether the application that the applicant is actually to make should be in the same terms as the proposed application, have regard to any relevant responses.	
	CLG Guidance on pre-application consultation (para 89) acknowledges that promoters and consultees will not always agree about whether or how particular impacts should be mitigated. Therefore, providing the IPC is able to conclude that the promoter has acted reasonably, the IPC is not expected to decide that pre-application consultation was inadequate on the basis that particular impacts had not been mitigated to a particular extent.	
	The applicant's response to consultation under s49 is set out in the Consultation Report (section 7.5, 7.6, 7.7, 8.2, 8.3, 8.4, 8.7, 8.8 & 9.7). These references are supplemented be additional details within the Consultation Report appendices.	
	The Consultation Report summarises the 'main' or 'principle' issues that arose from the applicant's consultation and goes onto to outline how the responses have influenced the project, or where this is not possible, a justification of why this is so. For example, the report has	

detailed how the design has been influenced by consultation but also that some consultees have expressed concern at the resulting changes made, with an explanation provided of why the changes were made. This includes summaries and the results of both consultation with prescribed consultees and consultation carried out with the local community and other relevant stakeholder groups. Details are included within the Consultation Report of both iterative statutory and non statutory consultation (the application was originally proposed to be submitted to DEC before the implementation of the Planning Act 2008) carried out by the applicant and how the scheme has responded to the consultation responses. The report also highlights where additional information has been prepared to support the application following the receipt of consultation responses (e.g. a Health Impact Assessment seeking to address concerns on emissions, and photomontages to demonstrate the scale of the proposed buildings within the landscape.) It is recognised that the Consultation Report does not detail every single representation that has been received or the response made to each individual response, nor is it necessarily always the case that the summary of each meeting is agreed by all participants. However, in the Secretariat's judgement, the report appears to provide an accurate summary of main issues that have been raised in representations, how the scheme has been developed as a result, or where it has not resulted in a change, a clear explanation of why this is so. It is also noted that the responses have not been categorised as recommended in Para 13 of IPC Guidance Note 2, but the reasons for

The adequacy of consultation response from Bedford Borough

doing so, based on the iterative consultation process carried out by the applicant, are accepted as being

reasonable.

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Council makes reference to a formal	
consultation response not being	
reported in the applicant's	
Consultation Report. There is no firm	
evidence to suggest that this has, or	
has not been received by the	
applicant, but the Consultation	
Report details iterative consultation,	
including meetings, with Bedford	
Borough Council throughout the pre-	
application process. The Secretariat	
is of the view that, whilst this is some	
doubt about a particular response, it	
is clear that the views of Bedford	
Borough Council were able to be	

	provided to the applicant throughout the course of the pre-application consultation process and that there are not sufficient grounds for not accepting the application on this basis.	
	The Secretariat concludes that taking into account the information available, including the applicant's Consultation Report, the applicant has acted reasonably in how it has taken account of responses received to pre-application consultation.	
c) That development consent is required for any of	the application development (is it red	quired?)
 Consent is required for development to the extent that the development is or forms part of a nationally significant infrastructure project (NSIP) (s.31) 	The proposal as described falls within s14(1) (a) and 15(b) of the Act. The proposal is for an on shore generating stating which has a capacity of more than 50 megawatts.	
 What constitutes a NSIP is defined generally in s.15 with the detailed thresholds for each of the specified categories being set out in sections 14-30 of the Act 	Also refer to the comments provided in relation to the draft DCO above (list of prescribed documents).	
- The meaning of development is given in s.32 of the Act.		
NB: LEGAL ADVICE should be sought if there is any uncertainty as to whether the application is for a proposed NSIP development.		
d) That the application gives reasons for any IPC g	uidance (under 37(4)) not followed	
Para.13 of IPC Guidance Note 2 dealing with the applica guidance rather than standards.	ant's Consultation Report, and paras.1-8	and 14-32 of IPC Guidance Note 2, are
Para.13 - A list of the individual responses received should be provided and categorised in an appropriate way (grouped and in accordance with the SoCC produced under s.47). The list should also be split and sorted according to comments that have led to changes /no changes and responses received after the deadline set by the promoter	Para 9.3.1 of covering letter explains 'Whilst this has been possible to some extent, and the Consultation Report reveals this, Covanta's iterative consultation approach is also set out and is not suitable for this type of approach'.	
	IPC Guidance Note 2 is issued under s37 and notes that applicants should have regard to it under s50 of the PA 2008. Reasons have been given by the applicant in its covering letter dated 4 August 2010 submitted with the application for departure from guidance in a number of areas, all of which are considered by the Secretariat to provide justification for the departure described. There are no reasons given for	

There are no reasons given for departure from guidance that the

draft order should contain all
provisions necessary (paragraph 16
IPC Guidance Note 2) in particular in
respect of the omission of protective
provisions in Schedule 7. The
Secretariat is of the opinion that it
would be unreasonable to reject the
application on the basis that a reason
had not been given on this matter.
This view is based in part of the fact
that inclusion of such provisions
during the examination of the
application would not materially alter
the proposal before the Commission;
it is also relevant that such provisions

	would constrain rather than permit development.	
That the applicant in relation to the application m	nade has complied with Chapter 2 of I	Part 5 (pre-application procedure)
2: Duty to Consult		
 a) persons prescribed (set out in Schedule 1 of the Infrastructure Planning (Applications: Prescribed Forms and Procedure) Regulations 2009. 	These are listed in consultation report appendix (APP DOC REF 7.2 Appendix 34) All relevant prescribed consultees are stated as having been consulted.	
b) each local authority (defined in s43)	The list of local authorities consulted are listed in APP DOC REF 7.2 Appendix 35. All local authorities (A and B as defined in s43 are stated as having been consulted). Refer to the boxes under s43 below for a list of these.	
 c) Greater London Authority (if in Greater London area) 	Not applicable	
 d) each person in one or more of s44 categories 	A list of those consulted under s44 is contained in APP DOC REF 7.2 Appendices 30 and 58.	
	The applicant's approach to landowner consultation is set out in APP DOC REF 7.1 Section 7.5.	
 When development is EIA development a person who proposes to make an application for an order granting development consent must, before carrying out consultation under section 42 (duty to consult) either – (a) Request the Commission to adopt a screening opinion in respect of the development to which the application relates; or (b) Notify the Commission in writing that the person proposes to provide an environmental statement in respect of that development 	 a) Not applicable b) This notification was provided to the Commission at the same time along with the applicant's formal request for a Scoping Opinion. A copy of this letter does not appear to have been provided within the Consultation Report or its appendices, but a copy of the letter received by the Commission and associated correspondence has been appended to the checklist (Appendix G). 	
Was a request made prior to consultation made under section 42?	Yes, the request was received in December 2009, whilst the s42 consultation commenced in February 2010.	
Was notification given in writing prior to consultation under section 42?	Yes, the notification was provided in December 2009, whilst the s42 consultation commenced in February 2010.	
s43: Local Authorities for the purposes of section 42(b)	Yes, these are set out in APP DOC REF 7.2 Appendix 35.	
 application land is in the authority's area (<i>is this identified?</i>) 	Yes	
If identified name of authority(s):	Bedford Borough Council Central Bedfordshire Council	

	Γ	
2) a local authority ("A") is within this section if		
 a) the application land is in the area of another local authority ("B") and b) any part of the boundary of A's area is also a part of the boundary of B's area 	Luton Borough Council (A) Cambridge County Council (A) Northamptonshire County Council (A) North Herts District Council (A) South Cambridgeshire District Council (A) St Albans City & District Council (A) Hertfordshire County Council (A) Dacorum Borough Council (A) Aylesbury Vale District Council (A) Buckinghamshire County Council (A) Huntingdonshire District Council (A) East Northamptonshire Council (A) Borough Council of Wellingborough (A) Milton Keynes Council (A)	
s44: Categories for purposes of section 42(d)		
 Category 1 – known owner, lessee, tenant or occupier of land 	These are listed in App Doc Ref 7.2 Appendix 30. Also refer to page 129 of App Doc Ref 7.1 (Consultation Report). The applicant's approach to landowner consultation is set out in App Doc Ref 7.1 Section 7.5.	
2) Category 2		
a) Known person interested in the land	These are provided within APP DOC REF 7.2 Appendices 31 & 33. The approach taken is set out in the Consultation Report (APP DOC REF 7.1 (paragraphs 7.5.5 – 7.5.6).	
	In the Consultation report paragraph 7.5.5, it refers to the database (appendix 30) which lists all landowners and those with rights over land. S44(1) of the act also refers to lessees and tenants which aren't mentioned in the report. Covanta did, however, obtain their information from HM Land Registry and Companies House. It is assumed, therefore, that there are no lessees and tenants applicable in this case.	
	For both Category 1 and Category 2 consultees, based upon the information provided in the application, the applicant appears to have gone to reasonable lengths to identify the relevant parties.	
b) Has power:		
i. to sell and convey the land: or	Referred to in APP DOC REF 7.2 Appendix 31.	
ii. to release the land	Referred to in APP DOC REF 7.2 Appendix 31.	
s45: Timetable for Consultation under section 42	1	1
 notification to person(s) under section 42 of deadline for receipt of response to consultation (check if notification apparent?) 	APP DOC REF 7.2 Appendix 36 Letter sent on 18 February 2010 with a deadline for responses of 5 April	

		2010.	
2)	deadline under 1 must not be earlier than 28 days starting the day after receipt of the consultation documents (<i>check period given 28</i> <i>days or over?</i>)	Yes, period given was over 28 days (applicant gave 45 days)	
3)	consultation documents mean those supplied by the applicant for the purpose of consultation (check that documents were stated to be supplied?)	The documents supplied were the Preliminary Environmental Report and Non Technical Summary (Referred to in letter contained within APP DOC REF 7.2 Appendix 36)	
s46:	Duty to notify Commission of proposed application	ו	
1)	Did the applicant supply information to notify Commission of proposed application?	Yes, letter contained within APP DOC REF 7.2 Appendix 57	
2)	Was the information sent to the Commission the same as that sent to the s.42 consultees?	Yes, set out in letter referred to above.	
3)	did notification under (1) above take place prior to consultation under s42?; or	Yes, s46 notification 18 February 2010 and s42 19 February 2010	
	did it fall under transitional arrangements?		
s47:	Duty to consult local community		
1)	Applicant must prepare statement on how it intends to consult people living in the vicinity of the land (<i>has statement been prepared?</i>)	Yes, APP DOC REF 7.1 page 123	
	If the application is for EIA development the consultation statement requirements set out in Regulation 10 of The Infrastructure Planning (Environmental Impact Assessment) Regulations 2009 SI No. 2263 require that:		
	10. The consultation statement prepared under section 47 (duty to consult local community) must set out:		
	 (a) whether the development for which the applicant proposes to make an application for an order granting development consent is EIA development; and (<i>is it?</i>) 	Yes, refer to APP DOC REF 7.1 page 123	
	(b) if that development is EIA development, how the applicant intends to publicise and consult on the preliminary environmental information. (<i>is this evident?</i>)	Yes, is set out in the SOCC referred to above.	
2)	Before preparing the statement under (1) above the be in the statement:	ne applicant must consult each local aut	hority, defined in s43(1) about what is to
	Was the consultation undertaken before the preparation of the statement?	Yes, APP DOC REF 7.1 pages 118 and 119	
	Were all authorities defined in s43 (1) consulted?	Yes, Bedford Borough Council and Central Bedfordshire Council	
3)	Receipt by applicant of a local authority's response to consultation under (2) above, within 28 days of receipt of the consultation documents (<i>was this done?</i>)	APP DOC REF 7.1 pages 118 and 119. Also refer to Appendix 23 of Consultation Report (APP DOC REF 7.1)	
4)	In (3) above "the consultation documents" means	the documents supplied to the local aut	hority under (2) above
5)	Once statement prepared it must be published		
	 In a newspaper circulating in the vicinity of the land, and 	Yes, refer to APP DOC REF 7.1 page 121 – Bedfordshire Times and Citizen & Bedfordshire on Sunday.	
	b. In such other manner as may be prescribed	No such other manner has been prescribed.	
6)	Applicant must carry out consultation in accordance with the proposals set out in the	The Consultation Report sets out how the applicant how the applicant	

			1
statement (is this evident?)	has complied with the SOCC (Para 7.2.10).	
		The adequacy of consultation representations received from local authorities do not, in the secretariat's view, raise such concerns that would lead to a conclusion that the applicant has not adequately carried	
		out the proposals for consultation as set out in the SOCC.	
s48: Pre-applica	tion duty to publicise the proposed app	plication	
	nust publicise the proposed	Yes, refer to APP DOC REF 7.1 (Page 125 Para 7.2.9).	
Regulation	in the prescribed form as set out in 4 of the APFP Regulations (<i>has this</i>	Published in the Bedfordshire Times	
been done? regulation 4	? See responses set out under 4 below)	and Citizen (two consecutive weeks),	
g		The Times and the London Gazette (Copies within Appendix 24 of App	
		Doc Ref 7.2)	
proposed a applicant in	pplication under section 48(1), send a accordance with regulation 9(1)(c).	velopment, the applicant must, at the sar	
	of the consultation notice been sent consultation bodies?	Yes, on 18 th February 2010 (Refer to Appendix 36 of APP DOC REF 7.2)	
· · ·	he applicant must publish a notice, whosed application –	nich must include the matters prescribed	by paragraph (3) of this regulation, of the
(a)	for at least two successive weeks in one or more local newspapers circulating in the vicinity in which the proposed development would be situated;	Yes, 18 and 25 February 2010 in the Bedford and Times and Citizen (Refer to Appendix 24 of APP DOC REF 7.2).	
(b)	once in a national newspaper;	Yes, 19 February 2010 in The Times Consultation Report (Refer to Appendix 24 of APP DOC REF 7.2).	
(c)	once in the London Gazette and, if land in Scotland is affected, the Edinburgh Gazette; and	Yes, 19 February 2010 in the London Gazette. (Refer to Appendix 24 of APP DOC REF 7.2).	
(d)	where the proposed application relates to offshore development –	Not applicable	
	(i) once in Lloyds List; and	Not applicable	
	(ii) once in an appropriate fishing trade journal.		
. ,	he matters which the notice must nclude are –	Refer to APP DOC REF 7.2 Appendix 24	
(a)	the name and address of the applicant;	Yes	
(1.)			

(b)	a statement that the applicant intends to make an application for development consent to the Commission;	Yes	
(c)	a statement as to whether the application is EIA development;	Yes	
(d)	a summary of the main proposals, specifying the location or route of the proposed development;	Yes	
(e)	a statement that the documents, plans and maps showing the nature and location of the	Yes	

		proposed development are available for inspection free of charge at the places (including at least one address in the vicinity of the proposed development) and times set out in the notice;		
	(f)	the latest date on which those documents, plans and maps will be available for inspection (being a date not earlier than the deadline in sub-paragraph (i));	Yes, 5 April 2010	
	(g)	whether a charge will be made for copies of any of the documents, plans or maps and the amount of any charge;	Yes	
	(h)	details of how to respond to the publicity; and	Yes	
	(i)	a deadline for receipt of those responses by the applicant, being not less than 28 days following the date when the notice is last published.	Yes, 5 April 2010	
3)	Regulations	s must make provision for a deadline for	or receipt by the applicant of responses t	to publicity
	Regulation	4(3)(i) as set out above		
s49:	Duty to take	e account of responses to consultation	and publicity	
1)	Subsection	(2) applies where the applicant		
	(a) Has 48, a	complied with sections 42, 47 and and		
	appli	oses to go ahead with making an ication (whether or not in the same s as the proposed application)		
2)	responses (oust have regard to any relevant (<i>is this evident?</i>) NB: See Part 2 e for Commissioner for guidance on	Iterative process as summarised within the Consultation Report and appendices.	
3)	In (2) above	e relevant response means:		
		•	received before the deadline imposed b	
	•	onse to consultation under section 47(ared under section 47, or	received before the deadline imposed	I in accordance with the statement
	· ·	onse to publicity under section 48 rece) in relation to that publicity	eived by the applicant before the deadline	e imposed in accordance with section
4)	applicant ha	as complied with its consultation and p	nt under s.42 may make representations sublicity duties under sections 42, 47 and is situated and neighbouring authorities.	48. The Local Authorities in question are
		levant local authorities made such	Adequacy of consultation responses have been received from the following local authorities:	
		ommission must have regard to such tions when deciding whether or not	Central Bedfordshire Council	

representations when deciding whether or not to accept the application. LEGAL ADVICE management need to be taken on this.		
	Copies of each response are appended to this checklist (Appendix H).	
	In general, after carefully reviewing each of the responses, whilst several comments have been made in relation to specific areas of the pre-	

application consultation, no significant concerns have been raised about whether the applicant has adequately complied with its consultation and publicity duties under sections 42, 47 and 48, such that they are considered to warrant grounds for non-acceptance.	
The response from Central Bedfordshire Council highlights concerns raised by Parish Council's (for example Apsley Guise) outside of the agreed 5km consultation area that they feel the applicant has not sufficiently consulted them and addressed their concerns (generally traffic routing and air emissions). It is noted that Apsley Guise Parish Council is not a prescribed s42 consultee and that the 5km consultation area was agreed with Central Bedfordshire Council as part of the consultation on the Statement of Community Consultation. It will also be a matter for the examination to consider any effects of the proposal beyond the area considered for consultation.	
The responses from Bedford Borough Council and Central Bedfordshire Council make particular representations giving their views on whether they consider that the applicant has had regard to the responses received to the consultation in the development of the final scheme. CLG Guidance on Pre-Application Procedure makes it clear that any adequacy of consultation representation must be about how the promoter has carried out the consultation, and may not be about how the promoter has had regard to responses to consultation (Para 39).	
All other representations have been carefully considered and, along with the evidence contained in the application documentation, have been taken into account in the Secretariat's conclusion that the applicant has complied with its pre- application duties set out with Chapter 2 of Part 5 of the Act (pre- application procedure).	

5) s50: Guidance about pre-application procedure

1) Guidance may be issued by the Commission or the Secretary of State

IPC Guidance Note 1 on Pre-application stages (Chapter 2 of the Planning Act 2008 – 7th December 2009 and CLG Guidance, The Planning Act 2008: Guidance on pre-application consultation

IPC Guidance Note 1 on Pre-application stages (Chapter 2 of the Planning Act 2008 – Revision 1 29 March 2010 and CLG Guidance, The Planning Act 2008: Guidance on pre-application consultation The applicant must have regard to any guidance under this section (<i>is this evident?</i>) Legal advice should be taken on this where there is any doubt.	The secretariat is satisfied that the applicant has appropriately demonstrated that it has had regard to both IPC Guidance Note 1 on Pre- application stages and CLG Guidance, the Planning Act 2008: Guidance on pre-application consultation.	
	IPC Guidance Note 1 (para 9)	

	encourages applicants to submit draft application and supporting documents. The IPC received an earlier copy of the draft DCO although draft copies of other supporting documents were not provided. It is noted, however, from the covering letter accompanying the application that draft copies of some supporting documents were provided to Central Bedfordshire and Bedford Borough Councils and other information was also shared with relevant consultees.		
	The applicants covering letter also acknowledges that the Preliminary Environmental Information was still in preparation at the time of the SOCC consultation under s47(2), but that sufficient information on the project was nonetheless available to ensure a good understanding of the project. The Secretariat considers the justification provided to be acceptable for a departure from the guidance in para 12 of IPC Guidance Note 1.		
	Both IPC Guidance Note 1 and CLG Guidance on Pre-Application Consultation acknowledge that, within the bounds of the legislative requirements, there are various ways for applicants to fulfil their statutory pre-application obligations. The Secretariat considers that the applicant has demonstrated in the consultation report that its pre- application consultation has been carried out to accord with both the guidance and statutory requirements.		
	The Secretariat concludes that the applicant has had due regard to the stated guidance and has acted reasonably in its approach to the pre-application consultation and process.		
IPC Guidance Note 2 on Preparation of application documents under s37 of the Planning Act 2008 – 7 December 2009. The applicant must have regard to any guidance under this section (<i>is this evident?</i>) Legal advice should be taken on this where there is any doubt.	Although there are some examples in the application documents of a failure to comply with IPC Guidance Note 2 in some areas (noted in the BDB advice attached), the Secretariat considers that these are relatively minor issues and would not prejudice the examination of the application. For this reason, the Secretariat is of the view that application is acceptable with regard to compliance with s50 as it affects production of the application documents.		
The Infrastructure Planning (Fees) Regulations 2010	(SI106)		
Fees to accompany an application			
5. – (1) The Commission must charge the applicant a fee in respect of the decision by the Commission under section 55. If the applicant fails to pay the fee, the Commission need not consider the application until payment is received by the Commission.			
2) The fee payable is presently £4,500 (<i>has this been paid</i> ?)	Yes		
The fee must be paid at the same time that the	It was transferred prior to submission		

application is made (has it?)	of the application.	
4) What date was the fee received on and confirmed as bankable?	29 th July 2010 (CHAPS transfer)	

Commissioner's Conclusions:

I have reviewed the complete range of documents submitted as the application dated 4 August 2010 for development consent for the Resource Recovery Facility at Rookery South. In so doing, I have concentrated particularly on the application form, the draft DCO, Explanatory Memorandum, Statement of Reasons, the Environmental Statement, Planning Statement, Economic Statement and the Consultation Report. I have done so in the context of the criteria for acceptance under S.55 of the Planning Act, the APFP Regulations and the IPC Guidance Note No.2, bearing in mind that the decision at this stage is whether the application is sufficiently clear in what is being requested and complete the terms of the supporting documentation to enable it to be satisfactorily examined.

The main issues I identified initially as significant in deciding whether the application should be accepted are:

- 1. Whether the proposed MRF and visitors centre constitute legitimate associated development.
- 2. The relationship between LLRS awaiting approval by the two Local Planning Authorities and the base line for the application submitted to the IPC.
- 3. Whether the elements of other associated development set out at 5(c) of the application form are precisely described in the application documents.
- 4. The precise role of the covering letter which states it is the application; plainly it is not, though it does explain why the IPC Guidance Notes 1 and 2 have not been followed in certain instances.
- 5. The failure to provide with the application the necessary certificates of authorisation from the Secretary of State covering the compulsory acquisition of special category land.
- 6. The wide powers being sought in the draft DCO covering maintenance of the authorised project and to override easements and other rights.
- 7. How much of the land needed for the authorised project is actually in the control of the applicant.

[Redacted]

I have looked in detail at the adequacy of consultation as one of the key requirements of acceptance, both the Consultation Report submitted as part of the application and particularly the responses to it by the local authorities as required during this stage of the process. Central Bedfordshire and Bedford Borough Councils have concluded in similar terms that Covanta have undertaken pre application consultation to reach stakeholders, members of the public and local organisations. Central Bedfordshire consider this to be extensive and comprehensive. However, it is not possible for either local authority to assess the extent of effectiveness of that consultation until they see the full application documents.

I have considered the detailed assessment of the application documents covered in the Secretariat's Comments in this checklist and conclude on this point that the applicant has complied with the requirements of Chapter 2 Part 5 of the Act.

My assessment of the application documents is that they are in general coherently presented and intelligible. I have noted a number of errors and inconsistencies in the application form, the draft DCO and EM, but in my view they do not warrant rejection of the application under the tests S.55 and the Regulations require to be met.

My conclusion is that taken in the round, the application documents meet the submission requirements of the Regulations, and that the consultation requirements of the Act have been met. The two main issues in the application which concern me regarding acceptance, i.e. the MRF and visitor centre as associated development and the LLRS as the baseline for the application, together with the other issues I identified initially, i.e. 3 - 7 above, I conclude can be explored during the examination stage and are not fatal so as to preclude acceptance of the application. My decision therefore is that the application for a DCO for a Resource Recovery Facility at Rookery South is accepted for examination by the IPC.

Paul Hudson Commissioner

26 August 2010